

JAMES N. FOSTER, JR. JOHN B. RENICK PATRICIA M. McFALL KEVIN J. LORENZ* GEOFFREY M. GILBERT, JR. MICHELLE M. CAIN* STEPHEN B. MAULE ROBERT D. YOUNGER BRYAN D. LEMOINE* DANIEL G. FRITZ AMY R. BROWN JOSHUA E. RICHARDSON BRIAN C. HEY DALLAS W. CUPP* BRIAN M. O'NEAL* DAVID P. FRENZIA* GINA MOSHIRI* JOHN J. MARINO

ATTORNEYS AT LAW A Professional Corporation

2730 NORTH BALLAS ROAD - SUITE 200 POST OFFICE BOX 31901 ST. LOUIS, MISSOURI 63131-3039

> TELEPHONE: 314-567-7350 FACSIMILE: 314-567-5968 WWW.MCMAHONBERGER.COM

OFFICES:
ST. LOUIS, MO
COLLINSVILLE, IL
BY APPOINTMENT ONLY

V. LEE MCMAHON (1910-1996) ALAN I. BERGER (1933-1999)

OF COUNSEL: THOMAS M. HANNA RALPH E. KENNEDY

April 22, 2011

ADMITTED IN ILLINOIS

CERTIFIED MAIL

Dr. Michael Steven Theiss Custodian of Records UAB Highlands 1201 11th Avenue South Birmingham, Alabama 35205

Re:

John Morris v. Sequa Corporation d/b/a Precoat Metals

Case No. CV-11-0053-SLB Our File No.: SQU-093

Dear Sir or Madam:

We are writing on behalf of Sequa Corporation, d/b/a Precoat Metals, the Defendant in the above-referenced matter, to request the following documents as requested in the enclosed Subpoena Duces Tecum. If you send me the requested documents before May 27, 2011, along with an executed affidavit, you will not need to appear at the Law Offices of Marsh Acker, III, 2015 1st Avenue North, Birmingham, AL 35203 for deposition. I have enclosed both the Subpoena Duces Tecum and Affidavit for your convenience.

Thank you for your time and cooperation in this matter. Please do not hesitate to call if you have any questions.

Very truly yours

Brian Hev

BCH:sb Enclosures

cc:

Thomas Talty

182659.1

Celebrating over 50 years Founded 1955

Issued by the UNITED STATES DISTRICT COURT

Northern	DISTRICT OF	Alabama
John Kris Morris V.	SUBPOENA IN	A CIVIL CASE
Sequa Corporation, d/b/a Precoat Metals	Case Number:1 C	:V-11-B-0053-S
TO: Dr. Michael Steven Theiss (Custodian of Red UAB Highlands 1201 11th Avenue South Birmingham, AL 35205 ☐ YOU ARE COMMANDED to appear in the Untestify in the above case.		date, and time specified below to
PLACE OF TESTIMONY		COURTROOM
		DATE AND TIME
☐ YOU ARE COMMANDED to appear at the pla in the above case.	ce, date, and time specified below to te	estify at the taking of a deposition
PLACE OF DEPOSITION		DATE AND TIME
YOU ARE COMMANDED to produce and per place, date, and time specified below (list docu See attachment.		owing documents or objects at the
PLACE 2015 1st Avenue North, Birmingham, AL 35.	203	DATE AND TIME 5/27/2011 9:00 am
☐ YOU ARE COMMANDED to permit inspection	on of the following premises at the da	te and time specified below.
PREMISES		DATE AND TIME
Any organization not a party to this suit that is subpositive directors, or managing agents, or other persons who con the matters on which the person will testify. Federal Ru	sent to testify on its behalf, and may set	
ISSU) NG OFFICER'S SIGNATURE AND TITLE (INDICATE IF A		DATE 4-22-3011
Allen for Defer		1 (24 2011

¹ If action is pending in district other than district of issuance, state district under case number.

PROOF C	OF SERVICE
DATE	PLACE
SERVED (c) Stadion of Records RVED ON (PRINT NAME)	Certified Moil MANNER OF SERVICE
RVED BY (PRINT NAME)	Attorney for Defendent
DECLARATI	ON OF SERVER
	nited States of America that the foregoing information contained
I declare under penalty of perjury under the laws of the Unthe Proof of Service is true and correct. Executed on 4-33-3011 DATE	signature of Server 2750 N. Belles R.J. St. Louis, ADDRESS OF SERVER 63/5/

(1) A party or an attorney responsible for the issuance and service of a subpoena shall take reasonable steps to avoid imposing undue burden or expense on a person subject to that subpoena. The court on behalf of which the subpoena was issued shall enforce this duty and impose upon the party or attorney in breach of this duty an appropriate sanction which may include, but is not limited to, lost earnings and reasonable attorney's fee.

- (2) (A) A person commanded to produce and pennit inspection and copying of designated books, papers, documents or tangible things, or inspection of premises need not appear in person at the place of production or inspection unless commanded to appear for deposition, hearing or trial.
- (B) Subject to paragraph (d) (2) of this rule, a person commanded to produce and permit inspection and copying may, within 14 days after service of subpoena or before the time specified for compliance if such time is less than 14 days after service, serve upon the party or attorney designated in the subpoena written objection to inspection or copying of any or all of the designated materials or of the premises. If objection is made, the party serving the subpoena shall not be entitled to inspect and copy materials or inspect the premises except pursuant to an order of the court by which the subpoena was issued. If objection has been made, the party serving the subpoena may, upon notice to the person commanded to produce, move at any time for an order to compel the production. Such an order to comply production shall protect any person who is not a party or an officer of a party from significant expense resulting from the inspection and copying commanded.
- (3) (A) On timely motion, the court by which a subpoena was issued shall quash or modify the subpoena if it

(i) fails to allow reasonable time for compliance,

(ii) requires a person who is not a party or an officer of a party to travel to a place more than 100 miles from the place where that person resides, is employed or regularly transacts business in person, except that, subject to the provisions of clause (o) (3) (B) (iii) of this rule, such a person may in order to attend. trial be commanded to travel from any such place within the state in which the trial is held, or

- (iii) requires disclosure of privileged or other protected matter and no exception or waiver applies, or
 - (iv) subjects a person to undue burden.

(B) If a subpoena

- (i) requires disclosure of a trade secret or other confidential research, development, or commercial information, or
- (ii) requires disclosure of an unretained expert's opinion or information not describing specific events or occurrences in dispute and resulting from the expert's study made not at the request of any party, or
- (iii) requires a person who is not a party or an officer of a party to incur substantial expense to travel more than 100 miles to attend trial, the court may, to protect a person subject to or affected by the subpoena, quash or modify the subpoena, or, if the party in who behalf the subpoena is issued shows a subpoena need for the testimony or material that cannot be otherwise met without undue hardship and assures that the person to whom the subpoena is addressed will be reasonably compensated, the court may order appearance or production only upon specified conditions.

(d) DUTIES IN RESPONDING TO SUBPOENA.

- (1) A person responding to a subpoena to produce documents shall produce them as they are kept in the usual course of business or shall organize and label them to correspond with the categories in the demand.
- (2) When information subject to a subpoena is withheld on a claim that it is privileged or subject to protection as trial preparation materials, the claim shall be made expressly and shall be supported by a description of the nature of the documents, communications, or things not produced that is sufficient to enable the mmmdemanding party to contest the claim.

ATTACHMENT

John Kris Morris, SSN: 416-27-9597, DOB: 12-19-1974:

INFORMATION TO BE RELEASED FOR THE TIME PERIOD BEGINNING <u>January 1, 2004</u> THROUGH THE PRESENT:

- ENTIRE MEDICAL RECORD (including ER records, admission and discharge summaries, dictated reports and consults, operative and procedure reports, intraoperative and procedure flow sheets, informed consents, physician orders, progress notes, flow sheets, medication and transfusion records, test results, labs, pictures, pathology reports, EKGs, fetal monitoring strips, office records, immunization records growth charts, telemetry strips, radiology and other diagnostic reports, patient instructions, discharge summary).
- RECORD ABSTRACT (History and physical, progress notes, lab, radiology, operative report, pathology report, consultation report and diagnostic tests).
- RADIOLOGY AND OTHER DIAGNOSTIC IMAGING FILM, PICTURES, AND OR CD ROM (x-rays, CT scans, MRI, ultrasound, angiogram, diagnostic procedure, etc.,) unless otherwise specified.
- ALL MEDICAL AND RELATED BILLS RELATED TO THE ABOVE REQUESTED INFORMATION
- ☑ MEDICATION RECORDS
- OTHER (SPECIFY CONTENT): medical and counseling records relating to treatment for emotional pain, suffering, humiliation, embarrassment, inconvenience, mental anguish, and loss of enjoyment of life.

If you do not wish to appear for deposition, you should send the responsive records to the Law Offices of William M. Acker, III, 2015 1st Avenue North, Birmingham, AL 35203 or McMahon Berger, P.C., Attorneys at Law, and/or Brian C. Hey, 2730 North Ballas Road, Suite 200, St. Louis, Missouri 63131-3039 prior to May 27, 2011.

AFFIDAVIT

Before me, the undersigned authority, personally appeared, being by me duly sworn, deposed as follows:
1. My name is I am of sound mind, capable of making this Affidavit, and personally acquainted with the facts herein stated.
2. I am the Custodian of Records for Dr. Michael Steven Theiss.
3. Attached hereto are pages of records representing copies of the documents in the possession of Dr. Michael Steven Theiss pertaining to John Kris Morris.
 These pages of records are kept by Dr. Michael Steven Theiss in the regular course of business; it was the regular course of business of Dr. Michael Steven Theiss of an employee or representative with knowledge of the act, event, condition, opinion or matter recorded to make the record or to transmit information thereof to be included in such records and the record was made at or near the time of the act, event, condition, opinion or matter referenced therein. The records attached hereto are exact duplicates of the original records.
· · · · · · · · · · · · · · · · · · ·
STATE OF ALABAMA))SS COUNTY OF)
Subscribed and sworn to before me this day of, 20
Notary Public

My Commission Expires:

UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF ALABAMA SOUTHERN DIVISION

JOHN KRIS MORRIS,	}
Plaintiff,	} }
v.	}
SEQUA CORPORATION, d/b/a	}
Precoat Metals,	}
Defendant.	} }'

QUALIFIED HIPAA PROTECTIVE ORDER

The parties are hereby granted the right, upon compliance with the applicable discovery provisions of the Federal Rules of Civil Procedure and the orders of this court, to obtain from any health care provider, health plan, or other entity covered by the Health Insurance Portability and Accountability Act of 1996, Pub. L. No. 104-191, 110 Stat. 1936 (1996) ("HIPAA"), any and all information relating to the past, present, or future medical condition of any individual who is a party to this action (or the decedent or ward of a party who sues in a representative capacity), as well as any and all information relating to the provision of health care to such individual and payment for the provision of such health care.

Case 2:11-cv-00053-SLB Document 11 Filed 04/19/11 Page 2 of 2

This order authorizes any third-party who is provided with a subpoena

requesting the production of documents or commanding attendance at deposition

or trial to disclose the Protected Health Information in response to such request

or subpoena. This order is intended to authorize such disclosures under the

privacy regulations issued pursuant to HIPAA. 45 C.F.R. § 164.512(e)(1)(i).

The parties are expressly prohibited from using or disclosing the protected

health information obtained pursuant to this order for any purpose other than this

action. Further, the parties are ordered to either return to the covered entity

from whom or which such protected health information was obtained, or to

destroy the protected health information (including all copies made), immediately

upon conclusion of this action. See 45 C. F. R. §§ 163.502(b); 164.512(e)(1)(v).

DONE, this 19th day of April, 2011.

SHARON LOVELACE BLACKBURN

CHIEF UNITED STATES DISTRICT JUDGE

aum lovelace Blackburn

2



THOMAS O. McCARTHY JAMES N. FOSTER, JR. JOHN B. RENICK PATRICIA M. McFALL KEVIN J. LORENZ* GEOFFREY M. GILBERT, JR. MICHELLE M. CAIN* STEPHEN B. MAULE ROBERT D. YOUNGER BRYAN D. LEMOINE* DANIEL G. FRITZ AMY R. BROWN JOSHUA E. RICHARDSON BRIAN C. HEY DALLAS W. CUPP+ BRIAN M. O'NEAL* DAVID P. FRENZIA* GINA MOSHIRI* JOHN J. MARINO

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> V. LEE MCMAHON (1910-1996) ALAN I. BERGER (1933-1999)

OF COUNSEL: THOMAS M. HANNA RALPH E. KENNEDY

April 22, 2011

ADMITTED IN ILLINOIS

CERTIFIED MAIL

Social Security Administration Custodian of Records 1200 Reve Abraham Woods Jr., Blvd, 1st Floor Birmingham, Alabama 35285

Re:

John Morris v. Sequa Corporation d/b/a Precoat Metals

Case No. CV-11-0053-SLB Our File No.: SOU-093

Dear Sir or Madam:

We are writing on behalf of Sequa Corporation, d/b/a Precoat Metals, the Defendant in the above-referenced matter, to request the following documents as requested in the enclosed Subpoena Duces Tecum. If you send me the requested documents before May 27, 2011, along with an executed affidavit, you will not need to appear at the Law Offices of Marsh Acker, III, 2015 1st Avenue North, Birmingham, AL 35203 for deposition. I have enclosed both the Subpoena Duces Tecum and Affidavit for your convenience.

Thank you for your time and cooperation in this matter. Please do not hesitate to call if you have any questions.

Very truly yours

Drian C. Alban

BCH:sb Enclosures

cc:

Thomas Talty

Celebrating over 50 years Founded 1955

Issued by the UNITED STATES DISTRICT COURT

Northern	DISTRICT OF	Alabama
John Kris Morris V.	SUBPOENA IN A	A CIVIL CASE
Sequa Corporation, d/b/a Precoat Metals	Case Number: 1 C	V-11-B-0053-S
TO: Social Security Administration Custodian of Records 1200 Rev Abraham Woods Jr Blvd, 1st Floo Birmingham, AL 35285	or .	
☐ YOU ARE COMMANDED to appear in the U testify in the above case.	nited States District court at the place, of	late, and time specified below to
PLACE OF TESTIMONY		COURTROOM
	•	DATE AND TIME
☐ YOU ARE COMMANDED to appear at the plain the above case.	ace, date, and time specified below to te	stify at the taking of a deposition
PLACE OF DEPOSITION		DATE AND TIME
YOU ARE COMMANDED to produce and per place, date, and time specified below (list door See attachment.		wing documents or objects at the
PLACE 2015 1st Avenue North, Birmingham, AL 35	5203	DATE AND TIME 5/27/2011 9:00 am
☐ YOU ARE COMMANDED to permit inspect	ion of the following premises at the dat	e and time specified below.
PREMISES		DATE AND TIME
Any organization not a party to this suit that is subprince directors, or managing agents, or other persons who could the matters on which the person will testify. Federal R	nsent to testify on its behalf, and may set foules of Civil Procedure, 30(b)(6).	
Allower for	Defendent	19-22-2011
ISSUING OPFICER'S NAME, ADDRESS AND PHONE NUMBE	R	

¹ If action is pending in district other than district of issuance, state district under case number.

	DD O O C	OF GED I HOP
		OF SERVICE
	DATE	PLACE
	ion at Records	Certified Mail
(VED ON (PRINT NAME)		MANNER OF SERVICE
ERVED BY (PRINT NAME)	······································	Alterney for Defendant
<u> </u>	DECLARAT	IONI OE CEDITED
	of perjury under the laws of the L	
	of perjury under the laws of the L	Inited States of America that the foregoing information contained
	of perjury under the laws of the Urue and correct.	
the Proof of Service is t	of perjury under the laws of the Urue and correct.	United States of America that the foregoing information contained
the Proof of Service is t	of perjury under the laws of the Urue and correct.	United States of America that the foregoing information contained

- (1) A party or an attorney responsible for the issuance and service of a subpoena shall take reasonable steps to avoid imposing undue burden or expense on a person subject to that subpoena. The court on behalf of which the subpoena was issued shall enforce this duty and impose upon the party or attorney in breach of this duty an appropriate sanction which may include, but is not limited to, lost earnings and reasonable attorney's fee.
- (2) (A) A person commanded to produce and permit inspection and copying of designated books, papers, documents or tangible things, or inspection of premises need not appear in person at the place of production or inspection unless commanded to appear for deposition, hearing or trial.
- (B) Subject to paragraph (d) (2) of this rule, a person commanded to produce and permit inspection and copying may, within 14 days after service of subpoena or before the time specified for compliance if such time is less than 14 days after service, serve upon the party or attorney designated in the subpoena written objection to inspection or copying of any or all of the designated materials or of the premises. If objection is made, the party serving the subpoena shall not be entitled to inspect and copy materials or inspect the premises except pursuant to an order of the court by which the subpoena was issued. If objection has been made, the party serving the subpoena may, upon notice to the person commanded to produce, move at any time for an order to comply production shall protect any person who is not a party or an officer of a party from significant expense resulting from the inspection and copying commanded.
- (3) (A) On timely motion, the court by which a subpoena was issued shall quash or modify the subpoena if it

(i) fails to allow reasonable time for compliance,

(ii) requires a person who is not a party or an officer of a party to travel to a place more than 100 miles from the place where that person resides, is employed or regularly transacts business in person, except that, subject to the provisions of clause (c) (3) (B) (iii) of this rule, such a person may in order to attend

trial be commanded to travel from any such place within the state in which the trial is held, or

- (iii) requires disclosure of privileged or other protected matter and no exception or waiver applies, or
 - (iv) subjects a person to undue burden.

(B) If a subpoena

- (i) requires disclosure of a trade secret or other confidential research, development, or commercial information, or
- (ii) requires disclosure of an unretained expert's opinion or information not describing specific events or occurrences in dispute and resulting from the expert's study made not at the request of any party, or
- (iii) requires a person who is not a party or an officer of a party to incur substantial expense to travel more than 100 miles to attend trial, the court may, to protect a person subject to or affected by the subpoena, quash or modify the subpoena, or, if the party in who behalf the subpoena is issued shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship and assures that the person to whom the subpoena is addressed will be reasonably compensated, the court may order appearance or production only upon specified conditions.

(d) DUTIES IN RESPONDING TO SUBPOENA.

- (1) A person responding to a subpoena to produce documents shall produce them as they are kept in the usual course of business or shall organize and label them to correspond with the categories in the demand.
- (2) When information subject to a subpoena is withheld on a claim that it is privileged or subject to protection as trial preparation materials, the claim shall be made expressly and shall be supported by a description of the nature of the documents, communications, or things not produced that is sufficient to enable the mmmdemanding party to contest the claim.

ATTACHMENT

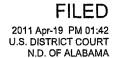
John Kris Morris, SSN: 416-27-9597, DOB: 12-19-1974:

John Kris Morris' application for disability benefits under the Social Security Act and the Social Security Act's file(s) maintained for John Kris Morris' application for disability benefits.

If you do not wish to appear for deposition, you should send the responsive records to the Law Offices of William M. Acker, III, 2015 1st Avenue North, Birmingham, AL 35203 or McMahon Berger, P.C., Attorneys at Law, and/or Brian C. Hey, 2730 North Ballas Road, Suite 200, St. Louis, Missouri 63131-3039 prior to May 27, 2011.

AFFIDAVIT

Before me, the undersigned authority, personally appeared, being by me duly sworn, deposed as follows:
1. My name is I am of sound mind, capable of making this Affidavit, and personally acquainted with the facts herein stated.
2. I am the Custodian of Records for the Social Security Administration.
3. Attached hereto are pages of records representing copies of the documents in the possession of the Social Security Administration pertaining to John Kris Morris.
4. These pages of records are kept by the Social Security Administration in the regular course of business; it was the regular course of business of the Social Security Administration or an employee or representative with knowledge of the act, event, condition, opinion or matter recorded to make the record or to transmit information thereof to be included in such records; and the record was made at or near the time of the act, event, condition, opinion or matter referenced therein.
5. The records attached hereto are exact duplicates of the original records.
STATE OF ALABAMA))SS COUNTY OF)
Subscribed and sworn to before me this day of, 20
Notary Public
My Commission Expires:



UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF ALABAMA SOUTHERN DIVISION

JOHN KRIS MORRIS,	}
Plaintiff,	}
v.	} } CASE NO. 2:11-cv-0053-SLB
SEQUA CORPORATION, d/b/a	} CASE NO. 2:11-cv-0055-SLD
Precoat Metals,	}
	}
Defendant.	}

QUALIFIED HIPAA PROTECTIVE ORDER

The parties are hereby granted the right, upon compliance with the applicable discovery provisions of the Federal Rules of Civil Procedure and the orders of this court, to obtain from any health care provider, health plan, or other entity covered by the Health Insurance Portability and Accountability Act of 1996, Pub. L. No. 104-191, 110 Stat. 1936 (1996) ("HIPAA"), any and all information relating to the past, present, or future medical condition of any individual who is a party to this action (or the decedent or ward of a party who sues in a representative capacity), as well as any and all information relating to the provision of health care to such individual and payment for the provision of such health care.

This order authorizes any third-party who is provided with a subpoena requesting the production of documents or commanding attendance at deposition or trial to disclose the Protected Health Information in response to such request or subpoena. This order is intended to authorize such disclosures under the privacy regulations issued pursuant to HIPAA. 45 C.F.R. § 164.512(e)(1)(i).

The parties are expressly prohibited from using or disclosing the protected health information obtained pursuant to this order for any purpose other than this action. Further, the parties are ordered to either return to the covered entity from whom or which such protected health information was obtained, or to destroy the protected health information (including all copies made), immediately upon conclusion of this action. See 45 C. F. R. §§ 163.502(b); 164.512(e)(1)(v).

DONE, this 19th day of April, 2011.

Sharon Lovelace Blackburn
SHARON LOVELACE BLACKBURN
CHIEF UNITED STATES DISTRICT JUDGE



THOMAS O. McCARTHY JAMES N. FOSTER, JR. JOHN B. PENICK PATRICIA M. McFALL KEVIN J. LORENZ* GEOFFREY M. GILBERT, JR. MICHELLE M. CAIN* STEPHEN B. MAULE ROBERT D. YOUNGER BRYAN D. LEMOINE* DANIEL G. FRITZ AMY R. BROWN JOSHUA E. RICHARDSON BRIAN C. HEY DALLAS W. CUPP+ BRIAN M. O'NEAL* DAVID P. FRENZIA* GINA MOSHIRI* JOHN J. MARINO

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BY APPOINTMENT ONLY

V. LEE MCMAHON (1910-1996) ALAN I. BERGER (1933-1999)

OF COUNSEL: THOMAS M. HANNA RALPH E. KENNEDY

April 22, 2011

*ADMITTED IN ILLINOIS

CERTIFIED MAIL

Wellness Treatment Center Custodian of Records 535 Jack Warner Parkway, Suite G-3 Tuscaloosa, Alabama 35404

Re:

John Morris v. Sequa Corporation d/b/a Precoat Metals

Case No. CV-11-0053-SLB Our File No.: SQU-093

Dear Sir or Madam:

We are writing on behalf of Sequa Corporation, d/b/a Precoat Metals, the Defendant in the above-referenced matter, to request the following documents as requested in the enclosed Subpoena Duces Tecum. If you send me the requested documents before May 27, 2011, along with an executed affidavit, you will not need to appear at the Law Offices of Marsh Acker, III, 2015 1st Avenue North, Birmingham, AL 35203 for deposition. I have enclosed both the Subpoena Duces Tecum and Affidavit for your convenience.

Thank you for your time and cooperation in this matter. Please do not hesitate to call if you have any questions.

Verytruky yours,

BCH:sb Enclosures

cc:

Thomas Talty

Celebrating over 50 years Founded 1955

Issued by the UNITED STATES DISTRICT COURT

Northern	DISTRICT OF	Alabama
John Kris Morris V.	SUBPOENA IN	A CIVIL CASE
Sequa Corporation, d/b/a Precoat Metals	Case Number:1	CV-11-B-0053-S
TO: Wellness Treatment Center Custodian of Records 535 Jack Warner Parkway, Suite G-3 Tuscaloosa, AL 35404		
☐ YOU ARE COMMANDED to appear in the testify in the above case.	United States District court at the place,	date, and time specified below to
PLACE OF TESTIMONY		COURTROOM
		DATE AND TIME
☐ YOU ARE COMMANDED to appear at the in the above case.	place, date, and time specified below to to	estify at the taking of a deposition
PLACE OF DEPOSITION		DATE AND TIME
YOU ARE COMMANDED to produce and place, date, and time specified below (list do See attachment.		owing documents or objects at the
PLACE 2015 1st Avenue North, Birmingham, AL	35203	DATE AND TIME 5/27/2011 9:00 am
☐ YOU ARE COMMANDED to permit inspe	ction of the following premises at the da	te and time specified below.
PREMISES		DATE AND TIME
Any organization not a party to this suit that is su directors, or managing agents, or other persons who the matters on which the person will testify. Federal	consent to testify on its behalf, and may set	
USUNG OFFILER'S SIGNATURE AND TITLE (INDICATE	F ATTORNEY FOR PLAINTIFF OR DEFENDANT)	
ISSUING OFFICER'S NAME, ADDRESS AND PHONE NUM	SUJA4 BER	19-22-2011

¹ If action is pending in district other than district of issuance, state district under case number.

	PROOF (OF SERVICE
	DATE	PLACE
	inteden of Records	Certified Mil
SERVED ON (PRINT N	IAME)	MANNER OF SERVICE
Brise C. A	k AME)	Attorney for Defendant
DER (•••
	DECLARAT	ION OF SERVER
		nited States of America that the foregoing information contained
in the Proof of Se	penalty of perjury under the laws of the U	
in the Proof of Se	penalty of perjury under the laws of the Urvice is true and correct.	nited States of America that the foregoing information contained
in the Proof of Se	penalty of perjury under the laws of the Urvice is true and correct.	inited States of America that the foregoing information contained
in the Proof of Se	penalty of perjury under the laws of the Urvice is true and correct.	nited States of America that the foregoing information contained

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- (B) Subject to paragraph (d) (2) of this rule, a person commanded to produce and permit inspection and copying may, within 14 days after service of subpoena or before the time specified for compliance if such time is less than 14 days after service, serve upon the party or attorney designated in the subpoena written objection to inspection or copying of any or all of the designated materials or of the premises. If objection is made, the party serving the subpoena shall not be entitled to inspect and copy materials or inspect the premises except pursuant to an order of the court by which the subpoena was issued. If objection has been made, the party serving the subpoena may, upon notice to the person commanded to produce, move at any time for an order to compel the production. Such an order to comply production shall protect any person who is not a party or an officer of a party from significant expense resulting from the inspection and copying commanded.
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(ii) requires a person who is not a party or an officer of a party to travel to a place more than 100 miles from the place where that person resides, is employed or regularly transacts business in person, except that, subject to the provisions of clause (c) (3) (B) (iii) of this rule, such a person may in order to

trial be commanded to travel from any such place within the state in which the trial is held, or

- (iii) requires disclosure of privileged or other protected matter and no exception or waiver applies, or
 - (iv) subjects a person to undue burden.

(B) If a subpoena

- (i) requires disclosure of a trade secret or other confidential research, development, or commercial information, or
- (ii) requires disclosure of an unretained expert's opinion or information not describing specific events or occurrences in dispute and resulting from the expert's study made not at the request of any party, or
- (iii) requires a person who is not a party or an officer of a party to incur substantial expense to travel more than 100 miles to attend trial, the court may, to protect a person subject to or affected by the subpoena, quash or modify the subpoena, or, if the party in who behalf the subpoena is issued shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship and assures that the person to whom the subpoena is addressed will be reasonably compensated, the court may order appearance or production only upon specified conditions.

(d) DUTIES IN RESPONDING TO SUBPOENA.

- (1) A person responding to a subpoena to produce documents shall produce them as they are kept in the usual course of business or shall organize and label them to correspond with the categories in the demand.
- (2) When information subject to a subpoena is withheld on a claim that it is privileged or subject to protection as trial preparation materials, the claim shall be made expressly and shall be supported by a description of the nature of the documents, communications, or things not produced that is sufficient to enable the mmmdemanding party to contest the claim.

ATTACHMENT

John Kris Morris, SSN: 416-27-9597, DOB: 12-19-1974:

INFORMATION TO BE RELEASED FOR THE TIME PERIOD BEGINNING <u>January 1, 2004</u> THROUGH THE PRESENT:

- ENTIRE MEDICAL RECORD (including ER records, admission and discharge summaries, dictated reports and consults, operative and procedure reports, intraoperative and procedure flow sheets, informed consents, physician orders, progress notes, flow sheets, medication and transfusion records, test results, labs, pictures, pathology reports, EKGs, fetal monitoring strips, office records, immunization records growth charts, telemetry strips, radiology and other diagnostic reports, patient instructions, discharge summary).
- RECORD ABSTRACT (History and physical, progress notes, lab, radiology, operative report, pathology report, consultation report and diagnostic tests).
- RADIOLOGY AND OTHER DIAGNOSTIC IMAGING FILM, PICTURES, AND OR CD ROM (x-rays, CT scans, MRI, ultrasound, angiogram, diagnostic procedure, etc.,) unless otherwise specified.
- ALL MEDICAL AND RELATED BILLS RELATED TO THE ABOVE REQUESTED INFORMATION
- ✓ MEDICATION RECORDS
- OTHER (SPECIFY CONTENT): medical and counseling records relating to treatment for emotional pain, suffering, humiliation, embarrassment, inconvenience, mental anguish, and loss of enjoyment of life.

If you do not wish to appear for deposition, you should send the responsive records to the Law Offices of William M. Acker, III, 2015 1st Avenue North, Birmingham, AL 35203 or McMahon Berger, P.C., Attorneys at Law, and/or Brian C. Hey, 2730 North Ballas Road, Suite 200, St. Louis, Missouri 63131-3039 prior to May 27, 2011.

AFFIDAVIT

Before me, the undersigned authority, personally appeared, being by me duly sworn, deposed as follows:
, being by the duty sworm, deposed as follows.
1. My name is I am of sound mind, capable of making the Affidavit, and personally acquainted with the facts herein stated.
2. I am the Custodian of Records for the Wellness Treatment Center.
3. Attached hereto are pages of records representing copies of the documents the possession of the Wellness Treatment Center pertaining to John Kris Morris.
4. These pages of records are kept by the Wellness Treatment Center in tregular course of business; it was the regular course of business of the Wellness Treatment Center or an employee or representative with knowledge of the act, event, condition, opinion matter recorded to make the record or to transmit information thereof to be included in su records; and the record was made at or near the time of the act, event, condition, opinion matter referenced therein.
5. The records attached hereto are exact duplicates of the original records.
STATE OF ALABAMA))SS COUNTY OF)
Subscribed and sworn to before me this day of, 20
Notary Public
My Commission Expires:

N.D. OF ALABAMA

UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF ALABAMA SOUTHERN DIVISION

JOHN KRIS MORRIS,	}	
Plaintiff,	} }	
	}	
v.	}	
	}	CASE NO. 2:11-cv-0053-SLB
SEQUA CORPORATION, d/b/a	}	
Precoat Metals,	}	
	}	·
Defendant.	}	

QUALIFIED HIPAA PROTECTIVE ORDER

The parties are hereby granted the right, upon compliance with the applicable discovery provisions of the Federal Rules of Civil Procedure and the orders of this court, to obtain from any health care provider, health plan, or other entity covered by the Health Insurance Portability and Accountability Act of 1996, Pub. L. No. 104-191, 110 Stat. 1936 (1996) ("HIPAA"), any and all information relating to the past, present, or future medical condition of any individual who is a party to this action (or the decedent or ward of a party who sues in a representative capacity), as well as any and all information relating to the provision of health care to such individual and payment for the provision of such health care.

Case 2:11-cv-00053-SLB Document 11 Filed 04/19/11 Page 2 of 2

This order authorizes any third-party who is provided with a subpoena

requesting the production of documents or commanding attendance at deposition

or trial to disclose the Protected Health Information in response to such request

or subpoena. This order is intended to authorize such disclosures under the

privacy regulations issued pursuant to HIPAA. 45 C.F.R. § 164.512(e)(1)(i).

The parties are expressly prohibited from using or disclosing the protected

health information obtained pursuant to this order for any purpose other than this

action. Further, the parties are ordered to either return to the covered entity

from whom or which such protected health information was obtained, or to

destroy the protected health information (including all copies made), immediately

upon conclusion of this action. See 45 C. F. R. §§ 163.502(b); 164.512(e)(1)(v).

DONE, this 19th day of April, 2011.

SHARON LOVELACE BLACKBURN

CHIEF UNITED STATES DISTRICT JUDGE

arm lovelace Blackburn

2



THOMAS O. McCARTHY JAMES N. FOSTER, JR. JOHN B. RENICK PATRICIA M. McFALL KEVIN J. LORENZ* GEOFFREY M. GILBERT, JR. MICHELLE M. CAIN* STEPHEN B. MAULE ROBERT D. YOUNGER BRYAN D. LEMOINE* DANIEL G. FRITZ AMY P. RROWN JOSHUA E. RICHARDSON BRIAN C. HEY DALLAS W. CUPP BRIAN M. O'NEAL* DAVID P. FRENZIA* GINA MOSHIRI* JOHN J. MARINO

ATTORNEYS AT LAW
A Professional Corporation

2730 NORTH BALLAS ROAD - SUITE 200 POST OFFICE BOX 31901 ST. LOUIS, MISSOURI 63131-3039

> TELEPHONE: 314-567-7350 FACSIMILE: 314-567-5968 WWW.MCMAHONBERGER.COM

OFFICES: ST. LOUIS, MO COLLINSVILLE, IL BY APPOINTMENT ONLY

> V. LEE McMAHON (1910-1996) ALAN I. BERGER (1933-1999)

OF COUNSEL: THOMAS M. HANNA RALPH E. KENNEDY

April 22, 2011

*ADMITTED IN ILLINOIS

CERTIFIED MAIL

Unemployment Compensation Office Custodian of Records 3460 Third Avenue, S. Birmingham, Alabama 35222

Re:

John Morris v. Sequa Corporation d/b/a Precoat Metals

Case No. CV-11-0053-SLB Our File No.: SQU-093

Dear Sir or Madam:

We are writing on behalf of Sequa Corporation, d/b/a Precoat Metals, the Defendant in the above-referenced matter, to request the following documents as requested in the enclosed Subpoena Duces Tecum. If you send me the requested documents before May 27, 2011, along with an executed affidavit, you will not need to appear at the Law Offices of Marsh Acker, III, 2015 1st Avenue North, Birmingham, AL 35203 for deposition. I have enclosed both the Subpoena Duces Tecum and Affidavit for your convenience.

Thank you for your time and cooperation in this matter. Please do not hesitate to call if you have any questions.

112-1

Brian C. Hey

BCH:sb Enclosures

cc:

Thomas Talty

Celebrating over 50 years Founded 1955

Issued by the UNITED STATES DISTRICT COURT

Northern	DISTRICT OF	•	Alabama
John Kris Morris V.		SUBPOENA IN A	CIVIL CASE
Sequa Corporation, d/b/a Precoat Metals		Case Number:1 CV	/-11-B-0053-S
TO: Unemployment Compensation Office Custodian of Records 3460 Third Avenue, S. Birmingham, AL 35222 ☐ YOU ARE COMMANDED to appear in the testify in the above case.	United States Distri	et court at the place, d	ate, and time specified below to
PLACE OF TESTIMONY			COURTROOM
			DATE AND TIME
☐ YOU ARE COMMANDED to appear at the p in the above case.	place, date, and time	specified below to tes	tify at the taking of a deposition
PLACE OF DEPOSITION			DATE AND TIME
YOU ARE COMMANDED to produce and p place, date, and time specified below (list do See attachment.			ving documents or objects at the
PLACE 2015 1st Avenue North, Birmingham, AL	35203		DATE AND TIME 5/27/2011 9:00 am
☐ YOU ARE COMMANDED to permit inspec	ction of the followin	g premises at the date	and time specified below.
PREMISES			DATE AND TIME
Any organization not a party to this suit that is su directors, or managing agents, or other persons who other matters on which the person will testify. Federal ISSUING OFFICER'S SIGNATURE AND TITLE (INDICATE IN ALL MATTERS NAME AND THE OFFICER AND THE O	consent to testify on it Rules of Civil Proced F ATTORNEY FOR PLAI	s behalf, and may set foure, 30(b)(6).	
Brance C. Hy 2730 N. B-1 (See Rule 45, Fed	ser.	Parts C & D on next page)	<u>63131, 314-567-7</u> 35

¹ If action is pending in district other than district of issuance, state district under case number.

		F OF SERVICE	
	DATE	PLACE	
SERVED	Cestella of Reculs	Cartified Mail	
SERVED ON (PRINT N	IAME)	MANNER OF SERVICE	
Bria C. SERVED BY (PRINT N	Hey	Attorney for Defealor	
SERVED BY (PRINT N	AME)	TITLE /	
	DECLARA	TION OF SERVER	
- · · · ·			_
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in the Proof of Se	penalty of perjury under the laws of the rvice is true and correct.	United States of America that the foregoing information contained	

(c) PROTECTION OF PERSONS SUBJECT TO SUBPOENAS.

- (1) A party or an attorney responsible for the issuance and service of a subpoena shall take reasonable steps to avoid imposing undue burden or expense on a person subject to that subpoena. The court on behalf of which the subpoena was issued shall enforce this duty and impose upon the party or attorney in breach of this duty an appropriate sanction which may include, but is not limited to, lost earnings and reasonable attorney's fee.
- (2) (A) A person commanded to produce and permit inspection and copying of designated books, papers, documents or tangible things, or inspection of premises need not appear in person at the place of production or inspection unless commanded to appear for deposition, hearing or trial.
- (B) Subject to paragraph (d) (2) of this rule, a person commanded to produce and permit inspection and copying may, within 14 days after service of subpoena or before the time specified for compliance if such time is less than 14 days after service, serve upon the party or attorney designated in the subpoena written objection to inspection or copying of any or all of the designated materials or of the premises. If objection is made, the party serving the subpoena shall not be entitled to inspect and copy materials or inspect the premises except pursuant to an order of the court by which the subpoena was issued. If objection has been made, the party serving the subpoena may, upon notice to the person commanded to produce, move at any time for an order to compel the production. Such an order to comply production shall protect any person who is not a party or an officer of a party from significant expense resulting from the inspection and copying commanded.
- (3) (A) On timely motion, the court by which a subpoena was issued shall quash or modify the subpoena if it
 - (i) fails to allow reasonable time for compliance,
- (ii) requires a person who is not a party or an officer of a party to travel to a place more than 100 miles from the place where that person resides, is employed or regularly transacts business in person, except that, subject to the provisions of clause (c) (3) (B) (iii) of this rule, such a person may in order to attend

trial be commanded to travel from any such place within the state in which the trial is held, or

- (iii) requires disclosure of privileged or other protected matter and no exception or waiver applies, or
 - (iv) subjects a person to undue burden.

(B) If a subpoena

- (i) requires disclosure of a trade secret or other confidential research, development, or commercial information, or
- (ii) requires disclosure of an unretained expert's opinion or information not describing specific events or occurrences in dispute and resulting from the expert's study made not at the request of any party, or
- (iii) requires a person who is not a party or an officer of a party to incur substantial expense to travel more than 100 miles to attend trial, the court may, to protect a person subject to or affected by the subpoena, quash or modify the subpoena, or, if the party in who behalf the subpoena is issued shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship and assures that the person to whom the subpoena is addressed will be reasonably compensated, the court may order appearance or production only upon specified conditions.

(d) DUTIES IN RESPONDING TO SUBPOENA.

- (1) A person responding to a subpoena to produce documents shall produce them as they are kept in the usual course of business or shall organize and label them to correspond with the categories in the demand.
- (2) When information subject to a subpoena is withheld on a claim that it is privileged or subject to protection as trial preparation materials, the claim shall be made expressly and shall be supported by a description of the nature of the documents, communications, or things not produced that is sufficient to enable the mmmdemanding party to contest the claim.

ATTACHMENT

John Kris Morris, SSN: 416-27-9597, DOB: 12-19-1974, Claim date: 9-14-2008:

John Kris Morris' unemployment application and unemployment file, as well as any records in your possession concerning John Kris Morris' unemployment claim.

If you do not wish to appear for deposition, you should send the responsive records to the Law Offices of William M. Acker, III, 2015 1st Avenue North, Birmingham, AL 35203 or McMahon Berger, P.C., Attorneys at Law, and/or Brian C. Hey, 2730 North Ballas Road, Suite 200, St. Louis, Missouri 63131-3039 prior to May 27, 2011.

AFFIDAVIT

Before me, the undersigned aut	thority, personally appeared me duly sworn, deposed as follows:
1. My name is	I am of sound mind, capable of making this facts herein stated.
2. I am the Custodian of Records	for the Unemployment Compensation Office.
3. Attached hereto are pages the possession of the Unemployment Compen	of records representing copies of the documents in sation Office pertaining to John Kris Morris.
Office in the regular course of business; Unemployment Compensation Office or an eact, event, condition, opinion or matter recor	ds are kept by the Unemployment Compensation it was the regular course of business of the employee or representative with knowledge of the ded to make the record or to transmit information he record was made at or near the time of the act, therein.
5. The records attached hereto are	e exact duplicates of the original records.
STATE OF ALABAMA))SS COUNTY OF)	
Subscribed and sworn to before me thi	is, 20
ī	Notary Public
My Commission Expires:	

N.D. OF ALABAMA

UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF ALABAMA SOUTHERN DIVISION

JOHN KRIS MORRIS,	}	
Plaintiff,	} }	•
	}	
v.	}	
	}	CASE NO. 2:11-cv-0053-SLB
SEQUA CORPORATION, d/b/a	}	
Precoat Metals,	}	
	}	·
Defendant.	}	

QUALIFIED HIPAA PROTECTIVE ORDER

The parties are hereby granted the right, upon compliance with the applicable discovery provisions of the Federal Rules of Civil Procedure and the orders of this court, to obtain from any health care provider, health plan, or other entity covered by the Health Insurance Portability and Accountability Act of 1996, Pub. L. No. 104-191, 110 Stat. 1936 (1996) ("HIPAA"), any and all information relating to the past, present, or future medical condition of any individual who is a party to this action (or the decedent or ward of a party who sues in a representative capacity), as well as any and all information relating to the provision of health care to such individual and payment for the provision of such health care.

Case 2:11-cv-00053-SLB Document 11 Filed 04/19/11 Page 2 of 2

This order authorizes any third-party who is provided with a subpoena

requesting the production of documents or commanding attendance at deposition

or trial to disclose the Protected Health Information in response to such request

or subpoena. This order is intended to authorize such disclosures under the

privacy regulations issued pursuant to HIPAA. 45 C.F.R. § 164.512(e)(1)(i).

The parties are expressly prohibited from using or disclosing the protected

health information obtained pursuant to this order for any purpose other than this

action. Further, the parties are ordered to either return to the covered entity

from whom or which such protected health information was obtained, or to

destroy the protected health information (including all copies made), immediately

upon conclusion of this action. See 45 C. F. R. §§ 163.502(b); 164.512(e)(1)(v).

DONE, this 19th day of April, 2011.

SHARON LOVELACE BLACKBURN

CHIEF UNITED STATES DISTRICT JUDGE

2



THOMAS O. MCCARTHY JAMES N. FOSTER, JR. JOHN B. RENICK PATRICIA M. McFALL KEVIN J. LORENZ* GEOFFREY M. GILBERT, JR. MICHELLE M. CAIN* STEPHEN B. MAULE ROBERT D. YOUNGER BRYAN D. LEMOINE* DANIEL G. FRITZ AMY R. BROWN JOSHUA E. RICHARDSON BRIAN C. HEY DALLAS W. CUPP+ BRIAN M. O'NEAL* DAVID P. FRENZIA* GINA MOSHIRI*

ATTORNEYS AT LAW
A Professional Corporation

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OFFICES:
ST. LOUIS, MO
COLLINSVILLE, IL
BY APPOINTMENT ONLY

V. LEE MCMAHON (1910-1996) ALAN I. BERGER (1933-1999)

OF COUNSEL: THOMAS M. HANNA RALPH E. KENNEDY

April 22, 2011

*ADMITTED IN ILLINOIS

JOHN J. MARINO

CERTIFIED MAIL

Ms. Beverly Walton Concentra Medical Centers Custodian of Records 110 Oxmoor Court Birmingham, Alabama 35209

Re:

John Morris v. Sequa Corporation d/b/a Precoat Metals

Case No. CV-11-0053-SLB Our File No.: SQU-093

Dear Ms. Walton:

We are writing on behalf of Sequa Corporation, d/b/a Precoat Metals, the Defendant in the above-referenced matter, to request the following documents as requested in the enclosed Subpoena Duces Tecum. If you send me the requested documents before May 27, 2011, along with an executed affidavit, you will not need to appear at the Law Offices of Marsh Acker, III, 2015 1st Avenue North, Birmingham, AL 35203 for deposition. I have enclosed both the Subpoena Duces Tecum and Affidavit for your convenience.

Thank you for your time and cooperation in this matter. Please do not hesitate to call if you have any questions.

14

Brian C. Hev

BCH:sb Enclosures

cc:

Thomas Talty

182663.1

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Issued by the UNITED STATES DISTRICT COURT

Northern	DISTRICT OF	Alabama
John Kris Morris V.	SUBPOENA IN A	A CIVIL CASE
Sequa Corporation, d/b/a Precoat Metals	Case Number:1 C	V-11-B-0053-S
 TO: Beverly Walton, Operations Director Custodian of Records Concentra Medical Centers 110 Oxmoor Court, Birmingham, AL 35209 YOU ARE COMMANDED to appear in the Utestify in the above case. 		late, and time specified below to
PLACE OF TESTIMONY		COURTROOM
	•	DATE AND TIME
☐ YOU ARE COMMANDED to appear at the p in the above case.	lace, date, and time specified below to te	stify at the taking of a deposition
PLACE OF DEPOSITION		DATE AND TIME
YOU ARE COMMANDED to produce and p place, date, and time specified below (list do See attachment.		wing documents or objects at the
PLACE 2015 1st Avenue North, Birmingham, AL 3	35203	DATE AND TIME 5/27/2011 9:00 am
☐ YOU ARE COMMANDED to permit inspec	tion of the following premises at the dat	e and time specified below.
PREMISES		DATE AND TIME
Any organization not a party to this suit that is suldirectors, or managing agents, or other persons who can the matters on which the person will testify. Federal	consent to testify on its behalf, and may set f Rules of Civil Procedure, 30(b)(6).	designate one or more officers, orth, for each person designated,
ISSUNG OFFICER'S SIGNATURE AND TITLE (INDICATE II) Allora (c.	Defaulnt	1-99 - 9011
ISSUING OFFICER'S NAME, ADDRESS AND PHONE NUMB	ER	

¹ If action is pending in district other than district of issuance, state district under case number.

אסממ	OF OF SERVICE
DATE	PLACE
DATE	ILIOD
SERVED Costedin of Recols	Certified Mil
ERVED ON (PRINT NAME)	MANNER OF SERVICE
Served by (PRINT NAME)	B. Atterney for Defendant
ERVED BY (PRINT NAME) .	TITLE
DECLAR	ATION OF SERVER
I declare under penalty of perjury under the laws of thin the Proof of Service is true and correct.	e United States of America that the foregoing information contained
Executed on 4-37 - 3011 DATE	R526
DATE	SIGNATURE OF SERVER
	ADDRESS OF SERVER SEIST
	ADDRESS OF SERVER 6\$131
Rule 45, Federal Rules of Civil Procedure, Parts C & D:	
Rule 45, Federal Rules of Civil Procedure, Parts C & D: (c) PROTECTION OF PERSONS SUBJECT TO SUBPOENAS.	

- (1) A party or an attorney responsible for the issuance and service of a subpoena shall take reasonable steps to avoid imposing undue burden or expense on a person subject to that subpoena. The court on behalf of which the subpoena was issued shall enforce this duty and impose upon the party or attorney in breach of this duty an appropriate sanction which may include, but is not limited to, lost earnings and reasonable attorney's fee.
- (2) (A) A person commanded to produce and permit inspection and copying of designated books, papers, documents or tangible things, or inspection of premises need not appear in person at the place of production or inspection unless commanded to appear for deposition, hearing or trial.
- (B) Subject to paragraph (d) (2) of this rule, a person commanded to produce and permit inspection and copying may, within 14 days after service of subpoena or before the time specified for compliance if such time is less than 14 days after service, serve upon the party or attorney designated in the subpoena written objection to inspection or copying of any or all of the designated materials or of the premises. If objection is made, the party serving the subpoena shall not be entitled to inspect and copy materials or inspect the premises except pursuant to an order of the court by which the subpoena was issued. If objection has been made, the party serving the subpoena may, upon notice to the person commanded to produce, move at any time for an order to compel the production. Such an order to comply production shall protect any person who is not a party or an officer of a party from significant expense resulting from the inspection and copying commanded.
- (3) (A) On timely motion, the court by which a subpoena was issued shall quash or modify the subpoena if it
 - (i) fails to allow reasonable time for compliance,
- (ii) requires a person who is not a party or an officer of a party to travel to a place more than 100 miles from the place where that person resides, is employed or regularly transacts business in person, except that, subject to the provisions of clause (c) (3) (B) (iii) of this rule, such a person may in order to attend

trial be commanded to travel from any such place within the state in which the trial is held, or

- (iii) requires disclosure of privileged or other protected matter and no exception or waiver applies, or
 - (iv) subjects a person to undue burden.

(B) If a subpoena

- (i) requires disclosure of a trade secret or other confidential research, development, or commercial information, or
- (ii) requires disclosure of an unretained expert's opinion or information not describing specific events or occurrences in dispute and resulting from the expert's study made not at the request of any party, or
- (iii) requires a person who is not a party or an officer of a party to incur substantial expense to travel more than 100 miles to attend trial, the court may, to protect a person subject to or affected by the subpoena, quash or modify the subpoena, or, if the party in who behalf the subpoena is issued shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship and assures that the person to whom the subpoena is addressed will be reasonably compensated, the court may order appearance or production only upon specified conditions.

(d) DUTIES IN RESPONDING TO SUBPOENA.

- (1) A person responding to a subpoena to produce documents shall produce them as they are kept in the usual course of business or shall organize and label them to correspond with the categories in the demand.
- (2) When information subject to a subpoena is withheld on a claim that it is privileged or subject to protection as trial preparation materials, the claim shall be made expressly and shall be supported by a description of the nature of the documents, communications, or things not produced that is sufficient to enable the mmmdemanding party to contest the claim.

ATTACHMENT

John Kris Morris, SSN: 416-27-9597, DOB: 12-19-1974:

INFORMATION TO BE RELEASED FOR THE TIME PERIOD BEGINNING <u>January 1, 2004</u> THROUGH THE PRESENT:

- Entire medical record (including ER records, admission and discharge summaries, dictated reports and consults, operative and procedure reports, intraoperative and procedure flow sheets, informed consents, physician orders, progress notes, flow sheets, medication and transfusion records, test results, labs, pictures, pathology reports, EKGs, fetal monitoring strips, office records, immunization records growth charts, telemetry strips, radiology and other diagnostic reports, patient instructions, discharge summary).
- RECORD ABSTRACT (History and physical, progress notes, lab, radiology, operative report, pathology report, consultation report and diagnostic tests).
- RADIOLOGY AND OTHER DIAGNOSTIC IMAGING FILM, PICTURES, AND OR CD ROM (x-rays, CT scans, MRI, ultrasound, angiogram, diagnostic procedure, etc.,) unless otherwise specified.
- ☑ ALL MEDICAL AND RELATED BILLS RELATED TO THE ABOVE REQUESTED INFORMATION
- ☑ MEDICATION RECORDS
- OTHER (SPECIFY CONTENT): medical and counseling records relating to treatment for emotional pain, suffering, humiliation, embarrassment, inconvenience, mental anguish, and loss of enjoyment of life.

If you do not wish to appear for deposition, you should send the responsive records to the Law Offices of William M. Acker, III, 2015 1st Avenue North, Birmingham, AL 35203 or McMahon Berger, P.C., Attorneys at Law, and/or Brian C. Hey, 2730 North Ballas Road, Suite 200, St. Louis, Missouri 63131-3039 prior to May 27, 2011.

AFFIDAVIT

Before me, the undersigned authority, personally appeared, being by me duly sworn, deposed as follows:
1. My name is I am of sound mind, capable of making this Affidavit, and personally acquainted with the facts herein stated.
2. I am the Custodian of Records for the Concentra Medical Centers.
3. Attached hereto are pages of records representing copies of the documents in the possession of the Concentra Medical Centers pertaining to John Kris Morris.
4. These pages of records are kept by the Concentra Medical Centers in the regular course of business; it was the regular course of business of the Concentra Medical Centers or an employee or representative with knowledge of the act, event, condition, opinion or matter recorded to make the record or to transmit information thereof to be included in such records; and the record was made at or near the time of the act, event, condition, opinion or matter referenced therein.
5. The records attached hereto are exact duplicates of the original records.
STATE OF ALABAMA))SS COUNTY OF)
Subscribed and sworn to before me this day of, 20
Notary Public
My Commission Expires:

2011 Apr-19 PM 01:42 U.S. DISTRICT COURT N.D. OF ALABAMA

UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF ALABAMA SOUTHERN DIVISION

JOHN KRIS MORRIS,	}	
Plaintiff,	}	
v.	}	
v.	}	CASE NO. 2:11-cv-0053-SLB
SEQUA CORPORATION, d/b/a	}	
Precoat Metals,	}	
	}	•
Defendant.	}`	

QUALIFIED HIPAA PROTECTIVE ORDER

The parties are hereby granted the right, upon compliance with the applicable discovery provisions of the Federal Rules of Civil Procedure and the orders of this court, to obtain from any health care provider, health plan, or other entity covered by the Health Insurance Portability and Accountability Act of 1996, Pub. L. No. 104-191, 110 Stat. 1936 (1996) ("HIPAA"), any and all information relating to the past, present, or future medical condition of any individual who is a party to this action (or the decedent or ward of a party who sues in a representative capacity), as well as any and all information relating to the provision of health care to such individual and payment for the provision of such health care.

This order authorizes any third-party who is provided with a subpoena requesting the production of documents or commanding attendance at deposition or trial to disclose the Protected Health Information in response to such request or subpoena. This order is intended to authorize such disclosures under the privacy regulations issued pursuant to HIPAA. 45 C.F.R. § 164.512(e)(1)(i).

The parties are expressly prohibited from using or disclosing the protected health information obtained pursuant to this order for any purpose other than this action. Further, the parties are ordered to either return to the covered entity from whom or which such protected health information was obtained, or to destroy the protected health information (including all copies made), immediately upon conclusion of this action. See 45 C. F. R. §§ 163.502(b); 164.512(e)(1)(v).

DONE, this 19th day of April, 2011.

SHARON LOVELACE BLACKBURN
CHIEF UNITED STATES DISTRICT JUDGE

2



THOMAS O. McCARTHY JAMES N. FOSTER, JR. JOHN B. RENICK PATRICIA M. MCEALL. KEVIN J. LORENZ* GEOFFREY M. GILBERT, JR. MICHELLE M. CAIN* STEPHEN B. MAULE ROBERT D. YOUNGER BRYAN D. LEMOINE* DANIEL G. FRITZ AMY R. BROWN JOSHUA E. RICHARDSON BRIAN C. HEY DALLAS W. CUPP* BRIAN M. O'NEAL* DAVID P. FRENZIA* GINA MOSHIRI*

ATTORNEYS AT LAW
A Professional Corporation

2730 NORTH BALLAS ROAD - SUITE 200 POST OFFICE BOX 31901 ST. LOUIS, MISSOURI 63131-3039

> TELEPHONE: 314-567-7350 FACSIMILE: 314-567-5968 WWW.MCMAHONBERGER.COM

OFFICES:
ST. LOUIS, MO
COLLINSVILLE, IL
BY APPOINTMENT ONLY

V. LEE MCMAHON (1910-1996) ALAN I. BERGER (1933-1999)

OF COUNSEL: THOMAS M. HANNA RALPH E. KENNEDY

April 22, 2011

ADMITTED IN ILLINOIS

JOHN J. MARINO

CERTIFIED MAIL

T-MobileWireless
Attn: Subpoena Compliance
Custodian of Records
4 Sylvan Way
Parsippany, New Jersey 07054

Re:

VIA FACSIMILE: (973) 292-8697 and REGULAR U.S. MAIL

•

John Morris v. Sequa Corporation d/b/a Precoat Metals

Case No. CV-11-0053-SLB Our File No.: SQU-093

Dear Sir or Madam:

We are writing on behalf of Sequa Corporation, d/b/a Precoat Metals, the Defendant in the above-referenced matter, to request the following documents as requested in the enclosed Subpoena Duces Tecum. If you send me the requested documents before May 27, 2011, along with an executed affidavit, you will not need to appear at the Law Offices of Marsh Acker, III, 2015 1st Avenue North, Birmingham, AL 35203 for deposition. I have enclosed both the Subpoena Duces Tecum and Affidavit for your convenience.

Thank you for your time and cooperation in this matter. Please do not hesitate to call if you have any questions.

Very truly yours,

Brian C. Nev

BCH:sb Enclosures

cc: Thomas Talty

182665.1

Celebrating over 50 years Founded 1955

Issued by the UNITED STATES DISTRICT COURT

	DISTRICT OF	NEW JERSEY
John Kris Morris V.	SUBPOENA IN A	A CIVIL CASE
Sequa Corporation, d/b/a Precoat Metals	Case Number:1 C	√-11-B-0053-S
TO: T-Mobile Wireless (Fax: (973) 292-8697) Attn: Subpoena Compliance Custodian of Records 4 Sylvan Way, Parsippany, NJ 07054 ☐ YOU ARE COMMANDED to appear in the Utestify in the above case.	United States District court at the place, d	ate, and time specified below to
PLACE OF TESTIMONY		COURTROOM
		DATE AND TIME
☐ YOU ARE COMMANDED to appear at the pl in the above case.	ace, date, and time specified below to tes	stify at the taking of a deposition
PLACE OF DEPOSITION		DATE AND TIME
YOU ARE COMMANDED to produce and peplace, date, and time specified below (list doc See attachment		wing documents or objects at the
PLACE 3769 Highway 46 East, Parsippany, NJ 07	7054	DATE AND TIME 5/27/2011 9:00 am
☐ YOU ARE COMMANDED to permit inspect	ion of the following premises at the date	e and time specified below.
PREMISES		DATE AND TIME
Any organization not a party to this suit that is subdirectors, or managing agents, or other persons who could the matters on which the person will testify. Federal R	onsent to testify on its behalf, and may set for	
ISSUING OFFICER SIGNATURE AND TITLE (INDICATE IF	ATTORNEY FOR PLAINTIFF OR DEFENDANT)	DATE
	ulut	1108-66.4
ISSUING OFFICER'S NAME, ADDRESS AND PHONE NUMBE	ER	

¹ If action is pending in district other than district of issuance, state district under case number.

	PROOF	OF SERVICE
	DATE	PLACE
SERVED C-st. 11-	of Reculs	Certified Mil
SERVED ON (PRINT NAME)		MANNER OF SERVICE
Brin C. Ky		Attorney for Deformant
SERVED BY (PRINT NAME)		TITLE
	DECLARAT	TION OF SERVER

Rule 45, Federal Rules of Civil Procedure, Parts C & D:

4-22 - 2011 DATE

Executed on

(c) PROTECTION OF PERSONS SUBJECT TO SUBPOENAS.

- (1) A party or an attorney responsible for the issuance and service of a subpoena shall take reasonable steps to avoid imposing undue burden or expense on a person subject to that subpoena. The court on behalf of which the subpoena was issued shall enforce this duty and impose upon the party or attorney in breach of this duty an appropriate sanction which may include, but is not limited to, lost earnings and reasonable attorney's fee.
- (2) (A) A person commanded to produce and permit inspection and copying of designated books, papers, documents or tangible things, or inspection of premises need not appear in person at the place of production or inspection unless commanded to appear for deposition, hearing or trial.
- (B) Subject to paragraph (d) (2) of this rule, a person commanded to produce and permit inspection and copying may, within 14 days after service of subpoena or before the time specified for compliance if such time is less than 14 days after service, serve upon the party or attorney designated in the subpoena written objection to inspection or copying of any or all of the designated materials or of the premises. If objection is made, the party serving the subpoena shall not be entitled to inspect and copy materials or inspect the premises except pursuant to an order of the court by which the subpoena was issued. If objection has been made, the party serving the subpoena may, upon notice to the person commanded to produce, move at any time for an order to compel the production. Such an order to comply production shall protect any person who is not a party or an officer of a party from significant expense resulting from the inspection and copying commanded.
- (3) (A) On timely motion, the court by which a subpoena was issued shall quash or modify the subpoena if it

(i) fails to allow reasonable time for compliance,

(ii) requires a person who is not a party or an officer of a party to travel to a place more than 100 miles from the place where that person resides, is employed or regularly transacts business in person, except that, subject to the provisions of clause (e) (3) (B) (iii) of this rule, such a person may in order to attend

trial be commanded to travel from any such place within the state in which the trial is held, or

- (iii) requires disclosure of privileged or other protected matter and no exception or waiver applies, or
 - (iv) subjects a person to undue burden.

ADDRESS OF SERVER (\$13)

(B) If a subpoena

SIGNATURE OF SERVER

- (i) requires disclosure of a trade secret or other confidential research, development, or commercial information, or
- (ii) requires disclosure of an unretained expert's opinion or information not describing specific events or occurrences in dispute and resulting from the expert's study made not at the request of any party, or
- (iii) requires a person who is not a party or an officer of a party to incur substantial expense to travel more than 100 miles to attend trial, the court may, to protect a person subject to or affected by the subpoena, quash or modify the subpoena, or, if the party in who behalf the subpoena is issued shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship and assures that the person to whom the subpoena is addressed will be reasonably compensated, the court may order appearance or production only upon specified conditions.

(d) DUTIES IN RESPONDING TO SUBPOENA.

- (1) A person responding to a subpoena to produce documents shall produce them as they are kept in the usual course of business or shall organize and label them to correspond with the categories in the demand.
- (2) When information subject to a subpoena is withheld on a claim that it is privileged or subject to protection as trial preparation materials, the claim shall be made expressly and shall be supported by a description of the nature of the documents, communications, or things not produced that is sufficient to enable the mmmdemanding party to contest the claim.

ATTACHMENT

John Kris Morris, SSN: 416-27-9597, DOB: 12-19-1974, telephone numbers (205) 425-4576 and (205) 267-2654:

Documentation indicating all incoming and outcoming calls to and from John Kris Morris' telephone from August 1, 2008 to February 28, 2009. John Kris Morris' telephone numbers may include, but may not be limited to, (205) 425-4576 and (205) 267-2654.

If you do not wish to appear for deposition, you should send the responsive records to the Law Offices of William M. Acker, III, 2015 1st Avenue North, Birmingham, AL 35203 or McMahon Berger, P.C., Attorneys at Law, and/or Brian C. Hey, 2730 North Ballas Road, Suite 200, St. Louis, Missouri 63131-3039 prior to May 27, 2011.

AFFIDAVIT

Before me, the undersigned authority, personally appeared, being by me duly sworn, deposed as follows:	~
1. My name is I am of sound mind, capable of making the Affidavit, and personally acquainted with the facts herein stated.	his
2. I am the Custodian of Records for T-Mobile Wireless.	
3. Attached hereto are pages of records representing copies of the documents the possession of T-Mobile Wireless pertaining to John Kris Morris.	s in
4. These pages of records are kept by T-Mobile Wireless in the regular course of business; it was the regular course of business of T-Mobile Wireless or an employee representative with knowledge of the act, event, condition, opinion or matter recorded to matter record or to transmit information thereof to be included in such records; and the record with made at or near the time of the act, event, condition, opinion or matter referenced therein. 5. The records attached hereto are exact duplicates of the original records.	e or ake
STATE OF NEW JERSEY))SS	
COUNTY OF)	
Subscribed and sworn to before me this day of, 20	
Notary Public	
My Commission Expires:	



THOMAS O. MCCARTHY JAMES N. FOSTER, JR. JOHN B. RENICK PATRICIA M. McFALL KEVIN J. LORENZ* GEOFFREY M. GILBERT, JR. MICHELLE M. CAIN* STEPHEN B. MAULE ROBERT D. YOUNGER BRYAN D. LEMOINE* DANIEL G. FRITZ AMY R. BROWN JOSHUA E. RICHARDSON BRIAN C. HEY DALLAS W. CUPP+ BRIAN M. O'NEAL* DAVID P. FRENZIA* GINA MOSHIRI*

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> V. LEE McMAHON (1910-1996) ALAN I. BERGER (1933-1999)

OF COUNSEL: THOMAS M. HANNA RALPH E. KENNEDY

April 22, 2011

ADMITTED IN ILLINOIS

JOHN J. MARINO*

CERTIFIED MAIL

Sprint Wireless Attn: Subpoena Compliance Custodian of Records 6391 Sprint Parkway Overland Park, Kansas 66251 VIA FACSIMILE: (816) 660-3111 and REGULAR U.S. MAIL

Re:

John Morris v. Sequa Corporation d/b/a Precoat Metals

Case No. CV-11-0053-SLB Our File No.: SQU-093

Dear Sir or Madam:

We are writing on behalf of Sequa Corporation, d/b/a Precoat Metals, the Defendant in the above-referenced matter, to request the following documents as requested in the enclosed Subpoena Duces Tecum. If you send me the requested documents before May 27, 2011, along with an executed affidavit, you will not need to appear at the Law Offices of Marsh Acker, III, 2015 1st Avenue North, Birmingham, AL 35203 for deposition. I have enclosed both the Subpoena Duces Tecum and Affidavit for your convenience.

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Very truly yours,

Brian C. Hev

BCH:sb Enclosures

cc:

Thomas Talty

182664.1

Celebrating over 50 years Founded 1955

Issued by the UNITED STATES DISTRICT COURT

	DISTRICT OF	KANSAS
John Kris Morris V.		SUBPOENA IN A CIVIL CASE
Sequa Corporation, d/b/a Precoat Metals		Case Number: CV-11-B-0053-S
TO: Sprint (Fax: (816) 600-3111) Attn: Subpoena Compliance Custodian of Records 6391 Sprint Parkway, Overland Park, KS ☐ YOU ARE COMMANDED to appear in the testify in the above case.		t court at the place, date, and time specified below to
PLACE OF TESTIMONY		COURTROOM
		DATE AND TIME
☐ YOU ARE COMMANDED to appear at the p in the above case.	place, date, and time	specified below to testify at the taking of a deposition
PLACE OF DEPOSITION		DATE AND TIME
YOU ARE COMMANDED to produce and p place, date, and time specified below (list do See attachment		copying of the following documents or objects at the
PLACE 10800 Metcalf Avenue, Overland Park, KS	S 66210	DATE AND TIME 5/27/2011 9:00 am
☐ YOU ARE COMMANDED to permit inspe	ction of the followin	g premises at the date and time specified below.
PREMISES		DATE AND TIME
directors, or managing agents, or other persons who the matters on which the person will testify. Federal ISSVING CEPICET'S SIGNATURE AND TITLE (INDICATE)	consent to testify on its Rules of Civil Proceds IF ATTORNEY FOR PLAIR	NTIFF OR DEFENDANT) DATE
Alleren for De issume oppicier's name, address and phone num	elevant	(102-25/4
Bol (11.)730 A) Bol	•	1 MA 63131. 314-967-7559

¹ If action is pending in district other than district of issuance, state district under case number.

AO88 (Rev. 1/94) Subpoena in a Civil Case	•
	OF SERVICE
DATE	PLACE
SERVED Costedin of Reculs	Certified Mil
SERVED ON (PRINT NAME)	MANNER OF SERVICE
Bri- C. Hey	Altoroug for Defound
SERVED BY (PRINT NAMÉ)	TITLE /
DECLARAT	TON OF SERVER
I declare under penalty of perjury under the laws of the U in the Proof of Service is true and correct. Executed on 4-27-2011 DATE	SIGNATURE OF SERVER 2730 N. Belles Pel, St. Lee's, M. ADDRESS OF SERVER 63131
Rule 45, Federal Rules of Civil Procedure, Parts C & D:	
•	
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<u>AFFIDAVIT</u>

Before						personally sworn, depo				
1. Affidavit, and									ing this	
2.	I am the Custodian of Records for Sprint.									
3. the possession						rds represent is.	ing copies o	f the docum	nents in	
4. business; it v knowledge o transmit inforthe time of the	vas the acmation	regula t, eve therec	r course ont, condi	of busing ition, opincluded	ess of Sp inion or in such r	matter recorecords; and t	nployee or a ded to mak he record w	representati se the recor	ive with rd or to	
5.	The re	cords	attached	hereto aı	re exact o	duplicates of	the original	records.		
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STATE OF R	CANSAS	S)							
COUNTY O	ਰ)							
Subsc	ribed an	ıd swc	rn to befo	ore me tl	nis	day of		, 20	 •	
							W		_	
					Notary I	dblic				
My Commiss	ion Exp	ires:								